

CLIENT DECLARATION LETTER 客戶聲明函

Dear valued client 尊敬的客戶，

Account Opening 開戶

Thank you for choosing to open an account with us. We value the opportunity to serve you and look forward to developing a long and fruitful relationship with you.

感謝閣下選擇在我公司開設帳戶，我們十分榮幸能為閣下服務，並期待與您建立長期的友好合作關係。

You have requested to be provided with service(s) as mentioned in the Account Opening Form. In providing such services to you, the attached Brokerage Terms and Conditions will apply. Please sign and return the attached Client Declaration to us as soon as possible. The Client Declaration confirms that: (i) the Brokerage Terms and Conditions and the relevant risk disclosures have been fully explained to you in a language that you understand; (ii) you have read, understand and accept the Brokerage Terms and Conditions and the relevant risk disclosures; (iii) the information provided by you to us is true, accurate, complete and not misleading in any material way, and you will notify us immediately if there is any change in relation to such information; (iv) you consent to us sharing your information with all relevant regulators and/or tax authorities; and (v) you agree that we may withhold from your Account(s) such amounts as required according to applicable laws and regulations.

閣下要求我公司提供在開戶表格內所提及的服務。在我公司為閣下提供此類服務的過程中，附帶的經紀條款和條件將適用。請將客戶聲明儘快簽署並返還給我們。客戶聲明確認了：

(i) 經紀條款和條件及相關的風險披露已經以閣下明白的語言向閣下充分說明；(ii) 閣下已經閱讀、理解並接受經紀條款和條件及相關的風險披露；(iii) 閣下提供給我們的資訊是真實的、準確的、完整的並不會以任何方式造成誤導，且如果有與該等資料相關的任何更改，閣下將立即通知我公司；(iv) 閣下同意我們將您的資訊提供於相關監管機構和/或稅務機關；和(v) 閣下同意我們可按適用的法律和法規從您的帳戶中扣留此等款項。

Should you have any queries or require further information, please feel free to contact client relationship manager in the first instance. Alternatively, you may call our hotline (+852) 3658 6288 (HK) or 4008-818-456 (China) at any time.

如果閣下有任何疑問或需要進一步瞭解相關資訊，請隨時在第一時間聯繫客戶關係經理。或者您也可以隨時呼叫我們的客服電話：(+852) 3658 6288 (香港)或 4008-818-456 (中國)。

Yours sincerely 此致

For and on behalf of

Huatai Financial Holdings (Hong Kong) Limited

謹代表華泰金融控股(香港)有限公司

Client Declaration 客戶聲明

I/We confirm that the Brokerage Terms and Conditions and the relevant risk disclosures have been fully explained to you in a language that I/we understand.

本人/我們確認經紀條款和條件及相關的風險披露已經以本人/我們明白的語言向本人/我們充分說明。

I/We have read, understand and accept the Brokerage Terms and Conditions and the relevant risk disclosures.

本人/我們已經閱讀、理解並接受經紀條款和條件及相關的風險披露。

I/We confirm that the information provided by us to Huatai is true, accurate, complete and not misleading in any material way, and I/we will notify Huatai immediately if there is any change in relation to such information.

本人/我們確認我們提供給華泰的資訊是真實的、準確的、完整的並不會以任何方式造成誤導，且如果有與該等資料相關的任何更改，我們將立即通知華泰。

I/We consent to Huatai sharing my/our information with all relevant regulators and/or tax authorities for any purpose including (without limitation) for the purposes of establishing my/our tax liability in any jurisdiction.

本人/我們同意華泰可向相關監管機構和/或稅務機關提供本人/我們的資料用於任何目的（包括但不限於）以確立本人/我們在任何司法管轄區的稅務責任。

Where required by any regulator or tax authority (whether in Hong Kong or overseas), I/we agree that Huatai may withhold from my/our Account(s) such amounts as required according to applicable laws and regulations.

當被任何監管機構或稅務機關要求時（無論是在香港或海外），本人/我們同意華泰可按適用的法律和法規從本人/我們的帳戶中扣留相關所須的金額款項。

I/We undertake to notify HUATAI within 30 calendar days if there is any change in any information which I/We have provided to HUATAI.

本人/我們保證本人提供的任何資料如有任何變更，本人/我們將於 30 天內通知華泰。

Name of Client 客戶姓名：

Name(s) of Authorized Person (if applicable) 獲授權人姓名（如適用）：

Signature(s) 簽字：

Date 日期：